

Northern California Pipe Trades Supplemental 401(k) Retirement Plan
Participant Investment Allocation Program

ELECTION AND ALLOCATION FORM

SECTION A: PARTICIPANT IDENTIFICATION (Print clearly in ink)

| | | | |
|---|-----------------|------------|----------------|
| First Name: | Middle Initial: | Last Name: | |
| Last Four Digit of the Social Security Number: | | | Date of birth: |
| Mailing Address: | | | Tel Num: |
| City: | State: | Zip Code: | |
| <p>By signing below, I understand and acknowledge the financial risk involved and willingly accept that responsibility. I further understand that:</p> <ul style="list-style-type: none"> I acknowledged that I am required and have attended an education class that is provided by the Plan's appointed investment advisor to be qualified to self-direct my account; If I fail to accurately complete either column below, the allocation and election change request will not be processed; This election will apply to my account balance as soon as administratively practicable; I may allocate all my investments in one of more options, provided that the allocation is in 1% increments and the total is equal to 100%; It is my responsibility to verify the request was processed in the manner I intended; and Any retirement payments or other disbursements will be taken from my account investment in proportion to their allocation at the time of the disbursement. <p>Note: If one or more funds in the "Individual Mutual Funds" section below are chosen, a fee of \$25 will be charged to your balance(s) in the chosen fund(s) each quarter. Funds in the "Allocation Model" and "Target Date Retirement Funds" sections have no associated quarterly fees. You will receive information (i.e., "Annual Notice Regarding the Expense of the Plan") from the plan each year that explains how the administrative expenses of the Plan are paid.</p> | | | |
| Signature: | | | Date: |

| SECTION B: FUND ALLOCATION (Effective 02/2022) | EXISTING BALANCE | FUTURE CONTRIBUTIONS |
|--|-------------------------|-----------------------------|
| INDIVIDUAL MUTUAL FUNDS (A \$25 fee will be charged to your balance each quarter if one or more Individual Mutual Funds is selected): | | |
| MetLife Stable GIC | % | % |
| American Funds US Govt Securities R6 (RGVGX) | % | % |
| American Funds Bond Fund of America R6 (RFBGX) | % | % |
| American Funds Washington Mutual R6 (RWMGX) | % | % |
| Vanguard Institutional Index (VINIX) | % | % |
| American Funds Growth Fund of America R6 (RGAGX) | % | % |
| JHancock Disciplined Value Mid Cap R6 (JVMRX) | % | % |
| Principal MidCap Fund I (PCBIX) | % | % |
| Vanguard Small Cap Index Admiral (VSMAX) | % | % |
| American Funds EuroPacific Growth R6 (RERGX) | % | % |
| Vanguard Total Bond Market Index Inst (VBTIX) | % | % |
| ALLOCATION MODEL (Quarterly fee n/a): | | |
| Balanced Pooled Fund | % | % |
| Conservative Allocation Model | % | % |
| Moderate Allocation Model | % | % |
| Aggressive Allocation Model | % | % |
| TARGET DATE RETIREMENT FUNDS (Quarterly fee n/a): | | |
| NCPT AF Target Date 2010 R6 | % | % |
| NCPT AF Target Date 2015 R6 | % | % |
| NCPT AF Target Date 2020 R6 | % | % |
| NCPT AF Target Date 2025 R6 | % | % |
| NCPT AF Target Date 2030 R6 | % | % |
| NCPT AF Target Date 2035 R6 | % | % |
| NCPT AF Target Date 2040 R6 | % | % |
| NCPT AF Target Date 2045 R6 | % | % |
| NCPT AF Target Date 2050 R6 | % | % |
| NCPT AF Target Date 2055 R6 | % | % |
| NCPT AF Target Date 2060 R6 | % | % |
| TOTAL IN EACH COLUMN MUST EQUAL 100% | 100 % | 100 % |